P2967	78.P01	IAP15 Rec'd PCT/PTO 15 SEP 2006								
Form PTO-1390		U.S. DEPARTMENT OF COMMERCE	ATTORNEY'S DOCKET NUMBER							
•		PATENT AND TRADEMARK OFFICE	P29678							
TRANSMITTAL LETTER 1 DESIGNATED/ELECTE		OFFICE (DO/EO/US)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)							
	CONCERNING A FILING	UNDER 35 U.S.C. 371	10/575,883							
INTE	RNATIONAL APPLICATION NO. PCT/EP2004/011645	INTERNATIONAL FILING DATE 15 October 2004	PRIORITY DATE CLAIMED 15 October 2003							
TITLE OF INVENTION NOVEL DIPEPTIDYL PEPTIDASE IV INHIBITORS USED FOR FUNCTIONALLY INFLUENCING DIFFERENT CELLS AND TREATING IMMUNOLOGICAL, INFLAMMATORY, NEURONAL, AND OTHER DISEASES										
APPLICANT(S) FOR DO/EO/US Siegfried ANSORGE, Ute BANK, Karsten NORDHOFF, Michael TAEGER, and Frank STRIGGOW										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.										
This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.										
2. 🛭	2. ☑ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
3. [3. This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (23) indicated below.									
4. [☐ The US has been elected (PCT Ar	ticle 31).								
5. [A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. ☐ is attached hereto (required only if not communicated by the International Bureau). b. ☐ has been communicated by the International Bureau. 									
<u>.</u> г	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
ъ. Г	 . ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. ☐ is attached hereto. b. ☐ has been previously submitted under 35 U.S.C. 154 (d) (4). 									
7. [Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 									
8. [☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. 🛭	☑ An oath or declaration of the inven	tor(s) (35 U.S.C371(c)(4)).								
	"Executed"									
10.	 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 									
Items	11 to 22 below concern other doc	ument(s) or information included:								
11. A	ssignee: Institut fuer Medizintechno	logie Magdeburg (IMTM) GmbH, Magde	burg, Germany; and KeyNeurotek AG, of .							
	Magdeburg, Germany									
12.	An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.								
13.	8. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
	14. ☐ A FIRST preliminary amendment. ☐ A SECOND or SUBSEQUENT preliminary amendment.									
15.	5. An Application Data Sheet under 37 C.F.R. 1.76.									
16.	☐ A substitute specification.									
17.	A power of attorney and/or change of address letter.									
18.	3.									
19.	. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.									
20.	☐ A second copy of the published international application under 35 U.S.C. 154 (d) (4).									
21.	A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4).									
22. 🛭	Other items or information: Cover Letter.									

	U.S. APPLICATION NO.	(If known, see 37 CFR 1.5)	5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
	, , , ,	4						
	, 10/5	. ' 10/575,883 PCT/EP2004/011645			P29678			
	23 The following fees are submitted:				\$ 0.00	PTO USE ONLY		
	Basic national for	ee	Ψ 0.00					
	PCT Article 33 (1)-(4)	y examination report prepare	0.00	\$ 0.00				
	25 Search fee			\$ 0.00				
	Search fee (37 CFR 1.44 International Searching A International Search Reports 1.44	45(a) (2)) has been paid on the suthorityort prepared and provided to the sum of t	ψ 0.00					
		TOTAL 23, 24 and 25 =	\$ 0.00					
	Additional Fee for S 100 sheets (excluding filed in electronic medi sheets of paper or frac							
	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a	RATE				
			whole number)					
	308 -100=	208 /50 =	5	x \$250.00	\$ 0.00			
	30 months from the ea	for furnishing the oath or d	\$ 130.00					
	Claims .	Number Filed	Number Extra	Rate		·		
	Total Claims Independent Claims	20 - 20 =	0	x \$50.00	\$ 0.00			
	Multiple Dependent Cla		U	× \$200.00 + \$360.00	\$ 0.00 \$ 0.00			
	Widitiple Dependent Or	airi(3) (ii applicable)	TOTAL OF ABOVE		\$ 130.00			
-	Applicant claims sm	nall entity status. See 37 C	\$ 0.00					
				SUBTOTAL =	\$ 130.00			
		0.00 for furnishing the Engli ority date (37 CFR 1.492 (i	\$ 0.00					
	Extension of Time fee in the amount of \$				\$ 0.00			
		· · · · · · · · · · · · · · · · · · ·	\$ 130.00					
	accompanied by an ap	nclosed assignment (37 C propriate cover sheet (37 C	nment must be O per property	\$ 0.00				
09/2)/2006 MKAYPAGH 000001	08 10575883	EES ENCLOSED =	\$ 130.00				
01 F	2:1617	130.00 OP			Amount to be refunded	\$		
•					Charged	\$		
	a. MA check in the am	nount of \$ <u>130.00</u> to cover						
	o. ☐ Please charge my Deposit Account Noin the amount of \$ to cover the above fees.							
	 ∴ The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089. d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. 							
	Credit card infor	rmation and authorizati	ion on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 or (b)) must be filed and granted to restore the application to pending status.								
		END ALL CORRESPONDENCE TO CUSTOMER NO. 07055 IT THE PRESENT ADDRESS OF:				SIGNATURE SIGNATURE		
	Neil F. Greenblum GREENBLUM & RERNSTEIN P.L.C. Stephen M. Roylance							
	GREENBLUM & BERN 1950 Roland Clark Place	•	o. 31,296	Neil F. Greenblum NAME	**************************************			
Reston, VA 20191 (703) 716-1191				,	28,394			
				REGISTRATION NUMBER				

P29678.P07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Siegfried ANSORGE et al.

Mail Stop PCT

Appl. No.

10/575,883 (National Stage of PCT/EP2004/011645)

I. A. Filed

October 15, 2004.

For

NOVEL DIPEPTIDYL PEPTIDASE IV INHIBITORS USED FOR FUNCTIONALLY INFLUENCING DIFFERENT CELLS AND TREATING IMMUNOLOGICAL, INFLAMMATORY, NEURONAL, AND OTHER

DISEASES

COVER LETTER

Mail Stop PCT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop PCT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In order to comply with the requirements for the submission of an executed Declaration in the above-identified application, and prior to receipt of the Notification which should set a time limit for submission of materials, and further to the filing of the above-identified application and the filing fee on April 14, 2006, Applicant hereby submits:

- Transmittal Letter to the United States Designated/Elected Office Concerning a
 Filing Under 35 U.S.C. 371;
- an executed Declaration and Power of Attorney;
- a check in the amount of \$130.00 to cover the surcharge for late filing of the
 Declaration.

The U.S. Patent and Trademark Office is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0089.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed number.

Respectfully submitted, Siegfried ANSORGE et al.

Neil F. Greenblum

Reg. No. 28,394

September 14, 2006 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 (703) 716-1191

Stephen M. Roylance Reg. No. 31,296